



PATENT

Case Docket No. AMAZON.012A1

Date: November 15, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Bezos, et al.
App. No. : 09/377,447
Filed : August 19, 1999
For : COMMUNITY-BASED
RECOMMENDATIONS

Group Art Unit: 2761

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

November 15, 1999

(Date)


Ronald J. Schoenbaum, Reg. No. 38,297

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on September 15, 1999, enclosed are the following documents:

- (X) An executed Declaration by Inventor(s);
- (X) A Power of Attorney Form and Copy of Assignment;
- (X) A Notice to File Missing Parts;
- (X) A check in the amount of \$1,256.00 to cover the fees; and
- (X) A return prepaid postcard.

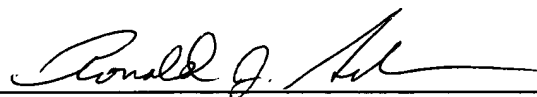
PATENT

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Fees as calculated below:

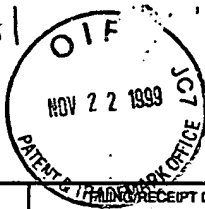
| | | |
|---|----------|------------|
| FILING FEES UNPAID AT TIME OF FILING | | \$1,126.00 |
| FEE FOR EXTENSION OF TIME (LARGE ENTITY) | 0 months | \$ 0 |
| SURCHARGE 37 CFR 1.16(e) | | \$ + 130 |
| TOTAL OF ABOVE CALCULATIONS | | \$1,256.00 |
| REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 0 | | |
| TOTAL FEES SUBMITTED HEREWITH | | \$1,256.00 |

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410. A duplicate copy of this sheet is enclosed.



Ronald J. Schoenbaum
Registration No. 38,297
Attorney of Record

AMAZON.012A1
ROS



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Section 3

| APPLICATION NUMBER | RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|--------------|-----------------------|---------------------------|
| 09/377,447 | 08/19/99 | BEZOS | J AMAZON.012A1 |

020995 0212/0915
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH CA 92660

NOT ASSIGNED

DATE MAILED: 2761

09/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1756

☒ 1. The statutory basic filing fee is:
☒ missing.

☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 288 for 16 total claims over 20.

\$ 78 for 1 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.101(k), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

11/23/1999 HKHARR 00000105 09377447

01 FC:101
02 FC:105
03 FC:102
04 FC:103

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